

COMPLIANCE COMMITTEE MINUTES
Tuesday, September 10, 2019

PRESENT	Daron Korte	Minnesota Commissioner	Chair
	Russ Gullett	Michigan Commissioner	
	Shelly Ramos	Texas Commissioner	
	Ben Rasmussen	Utah Commissioner	
	Shelley Joan Weiss	Wisconsin Commissioner	
	Sarah Forster	Maine Commissioner	
	Tim McMurtrey	Idaho Commissioner	
STAFF	Cherise Imai	Executive Director	
	Lindsey Dablow	Training and Operations Associate	
	Beth Workman	Communications Associate	Secretary
EXCUSED	Davina French	North Dakota Commissioner	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01 PM ET by Committee Chair and Minnesota Commissioner Daron Korte. Roll call was taken by Lindsey Dablow, Training and Operations Associate.

ITEM 2 – AGENDA AND MINUTES

2. Commissioner Shelley Joan Weiss (WI) made a motion to approve the agenda as presented. The Motion was seconded by Commissioner Russ Gullett (MI). Motion carried.

3. Commissioner Ben Rasmussen (UT) moved to approve the minutes. The motion was seconded by Sarah Forster (ME). Motion carried.

ITEM 3 – REPORTS

4. **Executive Committee (EXCOM)** – Chair Korte informed the members that the Executive Committee (EXCOM) is preparing for the Annual Business Meeting (ABM) in Colorado Springs. He reminded Commissioners to register and arrange their flights if they have not already done so.

ITEM 4 – OLD BUSINESS

5. **Vacant Commissioners Update** – Chair Korte stated he will reach out to the appointing authority in those member states who do not currently have Commissioners prior to the ABM. He will provide an update to the Compliance Committee when it meets at the ABM.

6. **State Commissioners as State Council Chairs and as Ex-Officio Members** – In response to Commissioner Gullett's inquiry regarding the Compact Commissioner serving as an ex-officio member and the chair of their respective state's council Chair Korte reported the EXCOM is requesting an informal review by General Counsel Rick Masters. Executive Director (ED) Imai forwarded the information to Mr. Masters and anticipates a response by ABM. Commissioner Gullett agreed with the course of action. [OPEN]

ITEM 5 – NEW BUSINESS

7. **Policy Review** – Chair Korte reviewed two policies approved by EXCOM this past year:

- Guidelines for Commission Meetings:
 - Commissioner Weiss questioned the need for a policy as the MIC3 By-laws state the Commission adheres to Robert's Rules of Order. In addition, she inquired about use of the term "offending" and the penalties resulting from the "offense" and requested further clarification on the policy. Chair Korte asked Members to refer request for clarifications or questions of concern to the Chair and Vice Chair of the Commission. Chair Korte explained the policy was reviewed and approved by the EXCOM to address the behavior of Members during meetings over the past year. The policy establishes guidelines for meetings, defines appropriate behavior, and provides next steps should such behavior continue.
- Defining the Role of Commissioner, Designee, and Proxy:
 - Chair Korte reported this policy was developed to address questions raised during the 2018 ABM regarding the role and responsibilities of Commissioners, Designees, and Proxies.
 - The policy defines the three roles, and a timeline between 30-60 days for proxy form submittal prior to the ABM. Commissioner Weiss was concerned regarding situations like hers, in which she is caring for her elderly parents, and what the process would be should a Commissioner need to send a proxy to represent their state at the last minute or in the event of an emergency. Chair Korte stated he will forward the comment to the EXCOM for review. [OPEN]
 - Commissioner Weiss questioned the validity of the EXCOM to develop administrative policies and why such policies are not presented to the full Commission for vote. Chair Korte noted administrative policies do not require Commission vote, and only Rules and/or By-laws changes require a full Commission vote.
- 2017-2019 Strategic Plan Key Accomplishments: Chair Korte documented the success of the Commission over the last three years under the current Strategic Plan which was adopted in 2016. Chair Korte expressed pride regarding the

Committee's accomplishments, and stated it has been an honor to lead and serve on the Compliance Committee.

ITEM 6 – OTHER BUSINESS AND ANNOUNCEMENTS

8. **New Communications Associate** – Chair Korte welcomed Beth Workman, new Communications Associate to the MIC3 team, and added he and the members looked forward to working with her.

9. **Items for the Executive Committee** – The EXCOM will meet on Thursday, September 19, 2019. Commissioner Korte stated that Members can email the Commissioner Chair or Commissioner Korte if they have questions or comments for the EXCOM.

- **Leadership Development Committee (LDC)**

- Commissioner Weiss asked for an update on status of the LDC, prior to arriving to the ABM.
- ED Imai responded that the LDC met in August to review the nomination applications and there was one application per position: first application is for current Chair, Commissioner Don Kaminar (AK); second application is for current Vice Chair, Commissioner Laura Anastasio (CT); third application is for Treasurer, Commissioner Craig Neuenswander (KS). ED Imai informed the Committee that after six years Commissioner Bob Buehn (FL) and current Treasurer had decided not to run for a seventh term. Commissioner Buehn informed the Finance Committee, which the Treasurer chairs, and asked a Member of that Committee to volunteer to run for the position. Commissioner Neuenswander with Commissioner Buehn's support submitted his application for the position of Commission Treasurer. The Docket Book will be released the first week of October and will be available electronically on the website.
- Commissioner Gullett asked if nominations will be accepted from the floor at the ABM.
- ED Imai responded that nominations will always be accepted from the floor at the ABM per Robert's Rules of Order. After the LDC presents the candidates, nominations will be open from the floor. If there are floor nominations, each candidate will have the opportunity to address the commission for two minutes. The voting process will remain the same as it was at the 2018 ABM.

- **Commissioner Committee Assignments**

- Commissioner Weiss asked when the Commission went from individuals requesting or identifying which committee they wished to be on and then the committee members electing a chair, to now the Commission Chair appointing the Committee Chairs and selecting Commissioners to serve on committees.
- Chair Korte responded that the committee chair and committee member appointments are at the discretion of the Commission Chair. The practice of

appointing committee members to each committee was established to help committees reach a quorum every meeting.

- **Meeting Minutes Posted on MIC3 Website**

- Commissioner Weiss requested feedback on the National Office's practice of posting meeting minutes.
- ED Imai responded that the National Office only posts meeting minutes on the website once they have been approved by the committee. ED Imai added that the National Office is currently working with IT to resolve various issues with the MIC3.net site. The Commission's site is currently hosted by Muse, which is an Adobe product, but Adobe had decided to no longer support Muse. That is why the National Office is working to move to a new platform, WordPress, in 2020. The Council of State Governments (CSG), who originally created the MIC3.net site, is also moving all their content to WordPress so the Commission is not the only affiliate of CSG affected by Adobe's decision to drop Muse.

10. Commissioner Weiss stated she sent an email and letter to the EXCOM members regarding several points of concern, yet has not heard back from Chair Kaminar, nor any member of the EXCOM to date. ED Imai mentioned that the issues brought up in Commissioner Weiss' email are on the next EXCOM meeting agenda to be discussed in September, prior to the ABM. Chair Korte responded he would also address the comments and concerns brought up in this meeting with the EXCOM.

ITEM 7 – ADJOURNMENT

11. Commissioner Sarah Forster (ME) addressed the Members and informed them that she would be stepping down as the Commissioner Designee for Maine and that Mr. Tyler Backus will be replacing her in that role. She expressed gratitude for serving on the Compliance Committee in addition to serving on the Commission.

12. There being no further business, a motion was made to adjourn by Commissioner Weiss, seconded by Commissioner Shelly Ramos (TX). Meeting adjourned at 2:40 PM ET.

Respectfully submitted by,
Beth Workman
Communications Associate