

COMPLIANCE COMMITTEE MINUTES
Tuesday, January 15, 2019

PRESENT	Daron Korte	Minnesota Commissioner	Chair
	Russ Gullett	Michigan Commissioner	
	Davina French	North Dakota Commissioner	
	Ben Rasmussen	Utah Commissioner	
	Shelley Joan Weiss	Wisconsin Commissioner	
	Cherise Imai	Executive Director	Secretary
	Richard Pryor	Communications Associate	
	Lindsey Dablow	Training and Operations Associate	
EXCUSED	Sarah Forster	Maine Commissioner Designee	
ABSENT	Shelly Ramos	Texas Commissioner Designee	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01PM ET by Committee Chair Commissioner Daron Korte (MN). Roll call was taken by Richard Pryor, Communications Associate.

ITEM 2 – AGENDA AND MINUTES

2. The agenda and minutes were approved unanimously as presented.

ITEM 3 – REPORTS

3. **Executive Committee** – Chair Korte gave an overview of the EXCOM Retreat held in Little Rock, Arkansas. The Chair highlighted the EXCOM's focus on communication between the full Commission and leadership. He explained work is being carried out on the Code of Conduct, Conflict of Interest, and Awards Policy. Chair Korte stated there was discussion on creating a by-law to define the role of the ex-officio.

ITEM 4 – OLD BUSINESS

4. **Litigation Update** – Due to the absence of the MIC3 General Counsel, Rick Masters, the litigation update was tabled.

Commissioner Ben Rasmussen (UT) commented that they were still waiting for some formal wording that they could use from the EXCOM.

Mr. Pryor said the national office had been informed of a Commissioner appointment in Indiana, but the national office had not received official confirmation in the form of an appointment letter. **[OPEN]**

5. **National Guard** – Commissioner Davina French (ND) commented there is a possibility that the National Guard from certain states with low numbers of active duty may be called up to help with the southern wall but that they would deploy under title 32, not title 10. Should there be an exception if families needed to move? Chair Korte will bring this to the attention of the EXCOM. [OPEN]
6. **The Strategic Plan** - Chair Korte reviewed the Strategic Plan, there were no changes from the earlier meeting. Chair Korte asked members for feedback on topics they felt needed to be considered for the April EXCOM where the new strategic plan would be formulated. Shelley Joan Weiss (WI) asked for some clear delineation between roles and responsibilities and committees. She also asked the national office to reconsider the layout. [OPEN]
7. **Mississippi Commissioner** – Mr. Pryor briefed the members there has still been no contact with the Commissioner despite many efforts, since his appointment in March 2018. Pryor suggested the next course of action was for Chair Korte to contact the Deputy Chief of Staff directly as the next step in the escalation process. Chair Korte agreed. [OPEN]
8. **Commissioner Checklist and Appointment Process** – Chair Korte gave some background on this item and asked for feedback to be sent to himself and the national office. Commissioner Shelley Joan Weiss (WI) suggested clarifying who the audience is, how this process will be implemented and that adding live links to the checklist would be useful. Commissioner Weiss also asked for specific direction when being tasked by Chair Korte. [OPEN]

ITEM 5 – NEW BUSINESS

9. **Compliance Policy** – Chair Korte explained that formulizing this policy would identify steps in the escalation process for compliance matters. Commissioner French added it would helpful to add some wording to detail the communication that takes place “behind the scenes” to try and resolve issues prior to any type of escalation.

Mr. Pryor mentioned the national office would put together a draft, based on the ICJ Policy for the group to review and comment. [OPEN]

10. **Non-attendance** - Chair Korte explained he had been approached about representation by states and Commissioners at the annual meeting. Chair Korte felt it was important for states to be represented, especially those with large numbers of military students. There was also concern about members who are absent during portions of the meeting when the expectation is for them to be in attendance for the whole meeting.

Commissioner Weiss suggested that having expectations set during the registration process and defining Commissioners responsibilities on the appointment of a representative would be useful. Chair Korte stated that there was no rule that required a Commissioner to attend but that was an area that could be investigated. [OPEN]

ITEM 6 – OTHER BUSINESS AND ANNOUNCEMENTS

11. Chair Korte explained that Commission Chair, John Kaminar (AR) had asked each Committee Chair to give their members the opportunity to raise any issues to the EXCOM through their respective Chair at the end of each meeting. There were no comments.

ITEM 7 – ADJOURNMENT

11. With no other business to conduct, the meeting was adjourned by unanimous consent at 2:54 p.m. ET.

Respectfully submitted by,

A handwritten signature in black ink, appearing to be 'R. Pryor', written over a horizontal line.

Richard Pryor
Communications Associate

DRAFT