

COMPLIANCE COMMITTEE MINUTES
Tuesday, April 9, 2019

PRESENT	Daron Korte Russ Gullett Davina French Shelley Ramos Ben Rasmussen Shelley Joan Weiss	Minnesota Commissioner Michigan Commissioner North Dakota Commissioner Texas Commissioner Utah Commissioner Wisconsin Commissioner	Chair
	Cherise Imai Richard Pryor	Executive Director Communications Associate	Secretary
EXCUSED	Sarah Forster Lindsey Dablow	Maine Commissioner Training and Operations Associate	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 1:32PM ET by Committee Chair Commissioner Daron Korte (MN). Roll call was taken by Richard Pryor, Communications Associate.

ITEM 2 – AGENDA AND MINUTES

2. The agenda and minutes were approved unanimously as presented.

ITEM 3 – REPORTS

3. **Executive Committee (EXCOM)** – Chair Korte informed the members that the EXCOM is meeting in Lexington, April 17-19, 2019, for their Spring retreat. This meeting will include a Strategic Planning session, facilitated by Brian Riggs of the Dialogue Shop. Chair Korte asked that any issues or topics the members would like to have brought before the EXCOM be emailed to him.

ITEM 4 – OLD BUSINESS

4. **Informational Updates** – Chair Korte prepared and circulated an informational document for review by the Committee prior to the meeting addressing various issues. The document is attached for reference and covers the following:

- Strategic Plan
- Utah Legislation
- Indiana – Litigation
- Mississippi – Commissioner
- State Non-Attendance at ABM and Expectations
- Impacts of National Guard – Non-Title 10 Deployments

5. **Commissioner Checklist** – Chair Korte reminded Members this had been a discussion item for over a year. This document was developed to assist an appointing authority who doesn't understand the roles and responsibilities of a Compact Commissioner. Commissioner Ramos (TX) motioned to approve the list and forward to EXCOM for review, seconded by Commissioner Weiss (WI). No discussion. **Motion carried.**

6. **Policy for Resolving Compliance Issues** – The Chair reminded Members that some Commissioners were unclear how Compliance issues were elevated and resolved within the Commission. Chair Korte added this draft policy was based on a similar one developed by the Interstate Compact on Juveniles (ICJ). Commissioner Rasmussen (UT) motioned to approve the document and forward to the EXCOM for review, the motion was seconded by Commissioner Weiss. Commissioner Ramos asked to replace "guidelines", with "policy" in the document. Commissioner Rasmussen revised his motion as a friendly amendment. **Motion carried.**

7. **Commissioner Appointment Process** – Chair Korte stated this document had been drafted due to the challenges encountered by the National Office when working with the appointing authorities to fill Commissioner vacancies. Chair Korte confirmed everyone had a chance to review feedback from Commissioner Weiss. Commissioner French (ND) motioned to approve the document and forward to the EXCOM for review. Seconded by Commissioner Rasmussen. **Discussion:**

- i. Commissioner Weiss asked who the document was intended for? Was this for Commissioner information, appointment authorities, or both? Will this be sent to appointment authorities when an appointment needs to be made?
- ii. Commissioner Gullett (MI) suggested they revise the wording that requires an *education background*, and the wording regarding the *Commissioner serves as the Chair of the state council*.

A motion was made to accept the changes by Commissioner Gullett, seconded by Commissioner French. Motion carried. Commissioner Weiss motioned to amend the document language to streamline the verbiage based on her revisions. Seconded by Commissioner French. Motion carried. Chair Korte recommended reverting "his/her" and similar references back to the original language due to the current climate. A motion was made by Commissioner Weiss to approve the final document and forward to the EXCOM. Seconded by Commissioner French. **Motion carried.**

ITEM 5 – NEW BUSINESS

8. **Draft Code of Conduct Policy** – Chair Korte reviewed the purpose of the policy and that this has been revised based on feedback at the EXCOM Retreat in Little Rock, AR. The EXCOM asked that the policy be separated from the Conflict of Interest as a standalone policy. A motion was made by Commissioner Gullett to forward the policy to the EXCOM. Seconded by Commissioner Weiss. **Motion carried.**

9. **Draft Conflict of Interest Policy** – Chair Korte stated this current document had been created from the previous policy reflecting suggested amendments. A motion was made to forward this to the EXCOM by Commissioner Rasmussen. Seconded by Commissioner Weiss. **Discussion:**

- i. Commissioner Weiss asked how this would be enforced. Chair Korte stated they would be unable to catch every conflict and that it would be based on trust within the Commission.

Commissioner Rasmussen referred the members to paragraph 7 stating that the EXCOM has the authority to oversee this.

- ii. Commissioner Rasmussen motioned to amend the recusal wording in paragraph VI to read *"the Commissioner or Designee must advise the Chair at, or prior to the meeting."* Seconded by Commissioner Weiss. **Motion carried.**

Commissioner Weiss suggested a review of all policy letters relating to gender neutral language utilization. Chair Korte stated that he would raise this at the EXCOM meeting. **[OPEN]**

10. **Commissioner Appointment Letters and Tracking** – Mr. Pryor informed the Members that Dr. Darrell Floyd was recently appointed as the Commissioner for the State of Oklahoma.

ITEM 6 – OTHER BUSINESS AND ANNOUNCEMENTS

11. Chair Korte gave members the opportunity to raise any issues they had so he could address them with the EXCOM.

- i. Commissioner Gullett asked Chair Korte to raise the item in Paragraph D, Article VIII of the Model Language, and if we are going to enforce the statute the way it is written.
- ii. Commissioner French requested clarification on why the Ex-Officio were not being included in the Strategic Planning session at the Spring Retreat.

ITEM 7 – ADJOURNMENT

12. There being no further business, the meeting was adjourned by unanimous consent at 2:30 p.m. ET.

Upcoming Meetings: July 9, September 10

Respectfully submitted by,



Richard Pryor
Communications Associate