

**MINUTES OF THE COMPLIANCE COMMITTEE CONFERENCE CALL HELD JANUARY 10, 2017**

|         |                     |   |            |
|---------|---------------------|---|------------|
| PRESENT | Pete LuPiba         | Ohio Commissioner                             | Chair      |
|         | Laura Anastasio     | Connecticut Commissioner                      | Vice Chair |
|         | Susan Haberstroh    | Delaware Commissioner                         |            |
|         | Sarah Forster       | Maine Commissioner Designee                   |            |
|         | Mary Gable          | Maryland Commissioner                         |            |
|         | COL(R) Russ Gullett | Michigan Commissioner                         |            |
|         | Cindy Hunt          | for Dr. Salam Noor, Oregon Commissioner       |            |
|         | Ben Rasmussen       | Utah Commissioner                             |            |
|         | Gary Hartman        | Wyoming Commissioner                          |            |
|         | Bill Hampton        | Deputy Director, Defense State Liaison Office | Guest      |
|         | Cherise Imai        | Executive Director                            | Secretary  |
|         | John Matthews       | Program Specialist                            |            |
|         | Rick Masters        | General Counsel                               |            |
| EXCUSED | Marcy Herman        | Alaska Commissioner Designee                  |            |
|         | Cheryl Serrano      | Colorado Commissioner                         |            |
|         | Laura Snyder        | Indiana Commissioner                          |            |
|         | Daron Korte         | Minnesota Commissioner Designee               |            |
|         | Richard Pryor       | Operations Coordinator                        |            |
| ABSENT  | James "Robin" Hines | Georgia Commissioner                          |            |
|         | Tom Beasley         | Iowa Commissioner                             |            |
|         | Shelly Ramos        | Texas Commissioner Designee                   |            |

**ITEM 1 – CALL TO ORDER**

1. The meeting was called to order at 2:02 PM ET on January 10, 2017, by Pete LuPiba, Ohio Commissioner and Compliance Committee Chair. The roll call was taken by Cherise Imai, Executive Director. Nine voting members and three staff members were present.

**ITEM 2 – AGENDA AND MINUTES**

2. The agenda for the meeting was approved. The minutes from the meeting held on September 21, 2016, were amended and approved.

**ITEM 3 – OLD BUSINESS**

3. *Military/DOD Representatives Update* – Bill Hampton, Deputy Director of the Defense State Liaison Office, briefed the Committee on the Department of Defense Instruction (DODI) regarding the appointment of DOD Representatives to the State Councils. He is updating records and soliciting appointment requests from Commissioners. His goal is to have 90 percent of the representatives appointed by the middle of February. He is continuing to work on the appointments and will keep the MIC3 staff updated. Hampton explained that once all the representatives have been appointed, the Department of Defense (DOD) intends to establish a support network for the representatives.

4. *District of Columbia Public Schools (DCPS) Update* – Rick Masters, General Counsel, briefed on the meeting that he and Cherise Imai had on November 22, 2016 with the DC Council and DCPS legal counsel. Masters stated that he felt confident with the new DC administration and personnel. Having the DC

Commissioner involved earlier in the process should help to minimize or eliminate the possibility of issues being elevated.

#### ITEM 4 – NEW BUSINESS

5. ***FY17 Dues Update*** – Imai reported that 94 percent of the FY17 dues have been paid with only three states outstanding. Staff is currently working with those states to get the dues in. Of the remaining states, one operates on a different budget cycle from the Commission.
6. ***Commissioner/Designee Appointments*** – John Matthews, Program Specialist, stated that COL(R) Blaine Hedges was appointed as the Commissioner from Kentucky. COL(R) Hedges attended the 2016 Annual Meeting for Kentucky prior to his appointment.
7. ***States without a Commissioner*** – Matthews stated that Alabama, Maine, New Jersey and North Carolina are without a Commissioner. LuPiba inquired whether the national office had a point of contact for each state. Matthews explained the national office has secondary contact information for three of the four states. Sarah Forster, Maine Commissioner Designee, offered to assist with any families in Alabama until their Commissioner is appointed.
8. ***Interstate Commission on Juveniles (ICJ) Administrative Policy on Vacancies*** – LuPiba stated that Cherise Imai sent him a copy of the ICJ Administrative Policy on Vacancies. He has asked four other commissioners to review the policy and participate in a conference call on February 8, 2017 at 2:00 PM ET. The purpose of the call is to determine whether the policy should be modified for use by the Commission. Imai explained that the policy will help to shorten the length of time for a vacancy and to encourage compliance. Masters stated the length of time that some states have been without a State Council could be considered a compliance issue. He recommended that the Commission adopt such a policy. LuPiba encouraged anyone on the Committee who is interested to be on the call. Matthews indicated that he would send the policy to the Committee members. (OPEN ITEM)
9. ***States without a State Council*** – Matthews briefed on the states that do not have State Councils: Idaho, Indiana, Mississippi, Missouri, and New Jersey. LuPiba said that states without a state council since 2009 need to be checked by the national staff and reported back to the Committee at the next meeting. (OPEN ITEM)
10. ***Second Contact Number for Each State on MIC3 Website*** – LuPiba indicated that it is important to have at least two points of contact for each state on the MIC3 website. If a state is unable to provide an alternative the national office info should be added. He asked that this be completed by the April meeting. (OPEN ITEM)
11. ***Strategic Plan – Goals and Action Plans review*** – Imai explained that there needed to be clarification of language for the Committee's goals due to confusion by members on the goal. Notes from the 2016 Annual Meeting were removed to avoid confusion about what needed to be accomplished. Adjustments to the language in RED below were recommended by the national staff and approved by the Committee. (Refer to *MIC3 Strategic Plan: Goals, Action Plans, and Timeline (by Committee)*)

***Strategy 1: Develop a ~~data~~ info and archive collection system to fulfill Commission requirements and to improve operation effectiveness and efficiency.***

*Goal #1: Design ~~data~~ information and archive collection system for historical continuity and transparency for the national office.*

*Goal #2: Develop a compliance ~~database~~ checklist.*

**Strategy 2: Assess and focus the education and outreach programs to develop Commissioners and implement 50 + 1 State Councils.**

*Goal #2: Assess each State Council for regulatory compliance. (refer to strategy 1.2)*

- a. Conduct joint assessment of councils
- b. Identify roles of SLOs and interaction on State Councils, and
- c. Conduct council reassessment when key changes occur.

In review, this goal was identified as similar in scope with Strategy 1.2.

*Goal #3: Develop key measures of effectiveness for Commissioners and councils. (refer to strategy 1.3)*

- a. Develop a checklist for Commissioners and councils.


In review, this goal was identified as similar in scope with Strategy 1.3.

*Goal #4: Create a task force of regional representatives for individual Commissioner mentoring and compliance. (Referred to the Executive Committee)*

#### **ITEM 5 – ADJOURNMENT**

12. The Committee meeting was adjourned at 2:56 PM ET.

Respectfully submitted by,



Cherise Imai  
Executive Director