



MILITARY INTERSTATE
CHILDREN'S COMPACT
COMMISSION

COMPLIANCE COMMITTEE MINUTES

FISCAL YEAR 2019

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**COMPLIANCE COMMITTEE MINUTES
WEDNESDAY, SEPTEMBER 12, 2018**

PRESENT	Daron Korte	Minnesota Commissioner	Chair
	Laura Anastasio	Connecticut Commissioner	Vice Chair
	Cheryl Serrano	Colorado Commissioner	
	COL(R) Russ Gullett	Michigan Commissioner	
	Sarah Forster	Maine Commissioner Designee	
	Davina French	North Dakota Commissioner	
	Ben Rasmussen	Utah Commissioner	
	Cherise Imai	Executive Director	Secretary
	Richard Pryor	Communications Associate	
	Lindsey Dablow	Training and Operations Associate	
EXCUSED	Gary Hartman	Wyoming Commissioner	
ABSENT	Shelly Ramos	Texas Commissioner Designee	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01PM ET by Committee Chair Daron Korte (MN). Roll call was taken by Richard Pryor, Communications Associate. Seven voting members and three staff members were present, one Commissioner was excused, one was absent.

ITEM 2 – AGENDA AND MINUTES

2. The agenda for the meeting was motioned for approval by Commissioner Davina French (ND) and seconded by Commissioner Ben Rasmussen (UT). Motion passed unanimously. The minutes from June 12, 2018 were motioned for approval by Commissioner Laura Anastasio (CT) and seconded by Commissioner Sarah Forster (ME). Motion passed unanimously.

ITEM 3 – OLD BUSINESS

3. **Litigation Update** – Due to the absence of the MIC3 General Counsel, Rick Masters, the litigation update was tabled. [OPEN]

4. **The Strategic Plan** - Chair Korte reviewed the Strategic Plan, there were no changes from the previous meeting.

5. **MIC3 State Coordination Policy Report** – Richard Pryor stated that 35% of states had provided reports. Some were more detailed than others and were available on the individual state pages on the website.

6. **Developing Guidelines for States and Boards and Commissioner Offices on New Commissioner Appointments** – Richard Pryor reviewed the draft appointment process and the background to why it was necessary. Commissioner French suggested that the reference to appointing a commissioner with an education should be changed to include “or a military background.” Commissioner Gullett commented that the state statute in Michigan requires the appointed person to have a military background. Commissioner Rasmussen asked why it was necessary to have a good working relation with the legislature. ED Imai mentioned that some states must report to their legislature each year on the Compact. [OPEN]

8. **Developing a Compact Checklist for Commissioners on Responsibilities and Tasks** – This item has been carried over to the next meeting to give the members more time to comment. [OPEN]

ITEM 4 – NEW BUSINESS

9. **Mississippi Commissioner** - A Commissioner was appointed in May but to date there has been no contact despite many efforts to communicate. Chair Korte asked to be informed if the national office did not receive communication from the Commissioner after a further attempt. [OPEN]

10. **Rules Change** – For information only, Chair Korte gave feedback on the proposed rules change following the rules committee meeting. Commissioner Serrano asked what the consequence would be if a state did not comply? Chair Korte said that rules under the compact enjoy the same enforcement as the model language. He added that General Counsel Masters would be able to speak more on this at the annual business meeting.

11. **Georgia Commissioner** – Chair Korte informed the committee that Robin Hines had resigned his position, but Georgia intends to appoint a temporary commissioner who can hopefully attend the ABM.

ITEM 5 – ADJOURNMENT

11. With no other business to conduct, Commissioner Rasmussen moved to adjourn the meeting, seconded by Commissioner French. Chair Korte adjourned the meeting at 2:46 PM ET.

Respectfully submitted by,



Richard Pryor
Communications Associate

COMPLIANCE COMMITTEE MINUTES
Tuesday, January 15, 2019

PRESENT	Daron Korte Russ Gullett Davina French Ben Rasmussen Shelley Joan Weiss	Minnesota Commissioner Michigan Commissioner North Dakota Commissioner Utah Commissioner Wisconsin Commissioner	Chair
	Cherise Imai Richard Pryor Lindsey Dablow	Executive Director Communications Associate Training and Operations Associate	Secretary
EXCUSED	Sarah Forster	Maine Commissioner Designee	
ABSENT	Shelly Ramos	Texas Commissioner Designee	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01PM ET by Committee Chair Commissioner Daron Korte (MN). Roll call was taken by Richard Pryor, Communications Associate.

ITEM 2 – AGENDA AND MINUTES

2. The agenda and minutes were approved unanimously as presented.

ITEM 3 – REPORTS

3. **Executive Committee** – Chair Korte gave an overview of the EXCOM Retreat held in Little Rock, Arkansas. The Chair highlighted the EXCOM's focus on communication between the full Commission and leadership. He explained work is being carried out on the Code of Conduct, Conflict of Interest, and Awards Policy. Chair Korte stated there was discussion on creating a by-law to define the role of the ex-officio.

ITEM 4 – OLD BUSINESS

4. **Litigation Update** – Due to the absence of the MIC3 General Counsel, Rick Masters, the litigation update was tabled.

Commissioner Ben Rasmussen (UT) commented that they were still waiting for some formal wording that they could use from the EXCOM.

Mr. Pryor said the national office had been informed of a Commissioner appointment in Indiana, but the national office had not received official confirmation in the form of an appointment letter. **[OPEN]**

5. **National Guard** – Commissioner Davina French (ND) commented there is a possibility that the National Guard from certain states with low numbers of active duty may be called up to help with the southern wall but that they would deploy under title 32, not title 10. Should there be an exception if families needed to move? Chair Korte will bring this to the attention of the EXCOM. [OPEN]
6. **The Strategic Plan** - Chair Korte reviewed the Strategic Plan, there were no changes from the earlier meeting. Chair Korte asked members for feedback on topics they felt needed to be considered for the April EXCOM where the new strategic plan would be formulated. Shelley Joan Weiss (WI) asked for some clear delineation between roles and responsibilities and committees. She also asked the national office to reconsider the layout. [OPEN]
7. **Mississippi Commissioner** – Mr. Pryor briefed the members there has still been no contact with the Commissioner despite many efforts, since his appointment in March 2018. Pryor suggested the next course of action was for Chair Korte to contact the Deputy Chief of Staff directly as the next step in the escalation process. Chair Korte agreed. [OPEN]
8. **Commissioner Checklist and Appointment Process** – Chair Korte gave some background on this item and asked for feedback to be sent to himself and the national office. Commissioner Shelley Joan Weiss (WI) suggested clarifying who the audience is, how this process will be implemented and that adding live links to the checklist would be useful. Commissioner Weiss also asked for specific direction when being tasked by Chair Korte. [OPEN]

ITEM 5 – NEW BUSINESS

9. **Compliance Policy** – Chair Korte explained that formulizing this policy would identify steps in the escalation process for compliance matters. Commissioner French added it would helpful to add some wording to detail the communication that takes place “behind the scenes” to try and resolve issues prior to any type of escalation.

Mr. Pryor mentioned the national office would put together a draft, based on the ICJ Policy for the group to review and comment. [OPEN]

10. **Non-attendance** - Chair Korte explained he had been approached about representation by states and Commissioners at the annual meeting. Chair Korte felt it was important for states to be represented, especially those with large numbers of military students. There was also concern about members who are absent during portions of the meeting when the expectation is for them to be in attendance for the whole meeting.

Commissioner Weiss suggested that having expectations set during the registration process and defining Commissioners responsibilities on the appointment of a representative would be useful. Chair Korte stated that there was no rule that required a Commissioner to attend but that was an area that could be investigated. [OPEN]

ITEM 6 – OTHER BUSINESS AND ANNOUNCEMENTS

11. Chair Korte explained that Commission Chair, John Kaminar (AR) had asked each Committee Chair to give their members the opportunity to raise any issues to the EXCOM through their respective Chair at the end of each meeting. There were no comments.

ITEM 7 – ADJOURNMENT

11. With no other business to conduct, the meeting was adjourned by unanimous consent at 2:54 p.m. ET.

Respectfully submitted by,

A handwritten signature in black ink, appearing to be 'R. Pryor', with a horizontal line extending to the right.

Richard Pryor
Communications Associate

COMPLIANCE COMMITTEE MINUTES
Tuesday, April 9, 2019

PRESENT	Daron Korte	Minnesota Commissioner	Chair
	Russ Gullett	Michigan Commissioner	
	Davina French	North Dakota Commissioner	
	Shelley Ramos	Texas Commissioner	
	Ben Rasmussen	Utah Commissioner	
	Shelley Joan Weiss	Wisconsin Commissioner	
	Cherise Imai	Executive Director	Secretary
	Richard Pryor	Communications Associate	
EXCUSED	Sarah Forster	Maine Commissioner	
	Lindsey Dablow	Training and Operations Associate	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 1:32PM ET by Committee Chair Commissioner Daron Korte (MN). Roll call was taken by Richard Pryor, Communications Associate.

ITEM 2 – AGENDA AND MINUTES

2. The agenda and minutes were approved unanimously as presented.

ITEM 3 – REPORTS

3. **Executive Committee (EXCOM)** – Chair Korte informed the members that the EXCOM is meeting in Lexington, April 17-19, 2019, for their Spring retreat. This meeting will include a Strategic Planning session, facilitated by Brian Riggs of the Dialogue Shop. Chair Korte asked that any issues or topics the members would like to have brought before the EXCOM be emailed to him.

ITEM 4 – OLD BUSINESS

4. **Informational Updates** – Chair Korte prepared and circulated an informational document for review by the Committee prior to the meeting addressing various issues. The document is attached for reference and covers the following:

- Strategic Plan
- Utah Legislation
- Indiana – Litigation
- Mississippi – Commissioner
- State Non-Attendance at ABM and Expectations
- Impacts of National Guard – Non-Title 10 Deployments

5. **Commissioner Checklist** – Chair Korte reminded Members this had been a discussion item for over a year. This document was developed to assist an appointing authority who doesn't understand the roles and responsibilities of a Compact Commissioner. Commissioner Ramos (TX) motioned to approve the list and forward to EXCOM for review, seconded by Commissioner Weiss (WI). No discussion. **Motion carried.**

6. **Policy for Resolving Compliance Issues** – The Chair reminded Members that some Commissioners were unclear how Compliance issues were elevated and resolved within the Commission. Chair Korte added this draft policy was based on a similar one developed by the Interstate Compact on Juveniles (ICJ). Commissioner Rasmussen (UT) motioned to approve the document and forward to the EXCOM for review, the motion was seconded by Commissioner Weiss. Commissioner Ramos asked to replace "guidelines", with "policy" in the document. Commissioner Rasmussen revised his motion as a friendly amendment. **Motion carried.**

7. **Commissioner Appointment Process** – Chair Korte stated this document had been drafted due to the challenges encountered by the National Office when working with the appointing authorities to fill Commissioner vacancies. Chair Korte confirmed everyone had a chance to review feedback from Commissioner Weiss. Commissioner French (ND) motioned to approve the document and forward to the EXCOM for review. Seconded by Commissioner Rasmussen. **Discussion:**

- i. Commissioner Weiss asked who the document was intended for? Was this for Commissioner information, appointment authorities, or both? Will this be sent to appointment authorities when an appointment needs to be made?
- ii. Commissioner Gullett (MI) suggested they revise the wording that requires an *education background*, and the wording regarding the *Commissioner serves as the Chair of the state council*.

A motion was made to accept the changes by Commissioner Gullett, seconded by Commissioner French. Motion carried. Commissioner Weiss motioned to amend the document language to streamline the verbiage based on her revisions. Seconded by Commissioner French. Motion carried. Chair Korte recommended reverting "his/her" and similar references back to the original language due to the current climate. A motion was made by Commissioner Weiss to approve the final document and forward to the EXCOM. Seconded by Commissioner French. **Motion carried.**

ITEM 5 – NEW BUSINESS

8. **Draft Code of Conduct Policy** – Chair Korte reviewed the purpose of the policy and that this has been revised based on feedback at the EXCOM Retreat in Little Rock, AR. The EXCOM asked that the policy be separated from the Conflict of Interest as a standalone policy. A motion was made by Commissioner Gullett to forward the policy to the EXCOM. Seconded by Commissioner Weiss. **Motion carried.**

9. **Draft Conflict of Interest Policy** – Chair Korte stated this current document had been created from the previous policy reflecting suggested amendments. A motion was made to forward this to the EXCOM by Commissioner Rasmussen. Seconded by Commissioner Weiss. **Discussion:**

- i. Commissioner Weiss asked how this would be enforced. Chair Korte stated they would be unable to catch every conflict and that it would be based on trust within the Commission.

Commissioner Rasmussen referred the members to paragraph 7 stating that the EXCOM has the authority to oversee this.

- ii. Commissioner Rasmussen motioned to amend the recusal wording in paragraph VI to read *"the Commissioner or Designee must advise the Chair at, or prior to the meeting."* Seconded by Commissioner Weiss. **Motion carried.**

Commissioner Weiss suggested a review of all policy letters relating to gender neutral language utilization. Chair Korte stated that he would raise this at the EXCOM meeting. **[OPEN]**

10. **Commissioner Appointment Letters and Tracking** – Mr. Pryor informed the Members that Dr. Darrell Floyd was recently appointed as the Commissioner for the State of Oklahoma.

ITEM 6 – OTHER BUSINESS AND ANNOUNCEMENTS

11. Chair Korte gave members the opportunity to raise any issues they had so he could address them with the EXCOM.

- i. Commissioner Gullett asked Chair Korte to raise the item in Paragraph D, Article VIII of the Model Language, and if we are going to enforce the statute the way it is written.
- ii. Commissioner French requested clarification on why the Ex-Officio were not being included in the Strategic Planning session at the Spring Retreat.

ITEM 7 – ADJOURNMENT

12. There being no further business, the meeting was adjourned by unanimous consent at 2:30 p.m. ET.

Upcoming Meetings: July 9, September 10

Respectfully submitted by,



Richard Pryor
Communications Associate