



COMPLIANCE COMMITTEE MINUTES
September 14, 2021

PRESENT	Daron Korte Bruce DuPlanty Khieem Jackson Nickolas Sojka, Jr Shelley Joan Weiss Davina French Mike Price Kristen Windham	Minnesota Commissioner Arizona Commissioner California Commissioner North Carolina Commissioner Wisconsin Commissioner North Dakota Commissioner Michigan Commissioner Mississippi Commissioner	Chair
EXCUSED	Davis Whitfield	NFHS	Ex-officio
STAFF	Cherise Imai Lindsey Dablow	Executive Director, MIC3 Training & Operations Associate, MIC3	
GUEST	Ann Pettit	South Dakota Representative	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01 PM ET by Compliance Chair Daron Korte (MN). The Chair welcomed the newly appointed Commissioner for Mississippi, Kristen Windham, to the Committee.

ITEM 2 – ROLL CALL

2. MIC3 Training & Operations Associate Lindsey Dablow called roll and a quorum was established.

ITEM 3 – APPROVAL OF THE AGENDA

3. Commissioner Davina French (ND) motioned to approve the agenda. The motion was seconded by Commissioner Shelley Joan Weiss (WI). Motion carried.

ITEM 4 – APPROVAL OF THE MINUTES

4. Commissioner Weiss motioned to approve the minutes as presented. The motion was seconded by Commissioner Mike Price (MI). Motion carried.

ITEM 5 – REPORTS

5. **Executive Committee (EXCOM)** - Chair Korte reported the EXCOM has been focused on the format of the 2021 Annual Business Meeting (ABM) since it will be held virtually on the Zoom platform.

6. The Chair noted the Finance Committee reported the annual audit of the Commission's finances by Blue and Co. was completed and no findings are expected. The Treasurer also notified the EXCOM that the Operations Audit, which is part of the Committee's assigned Tactics on the current Strategic Plan, will be completed soon and those findings will be presented at ABM.

7. Chair Korte reviewed what each of the Commission's Standing Committees are working on ahead of the ABM, including the online Election Guide developed by the Leadership Nomination Committee.

ITEM 6 – OLD BUSINESS

8. **State Council Meetings** – Chair Korte stated Fiscal Year 2021 closed on June 30th and those member states that did not report holding a State Council meeting have been contacted. The Chair asked the Members for feedback on those states who have not held a meeting now for several years and are out of compliance with the *1-2017 State Coordination* policy. The Chair recommended elevating those states to a Level 1 under the *1-2019 Resolving Compliance Issues* policy. Under the guidelines of the policy a written communication would be sent to those states reminding them they are out of compliance and requesting they hold a meeting as soon as possible.

9. Commissioner Weiss referenced the stress individuals in the education field have been in throughout the pandemic and stated she would prefer the Committee be lenient. She suggested developing a meeting minutes template for the State Councils to use. Finally, she asked what was being done to reach out to those states who have not held a meeting.

10. Chair Korte thanked Commissioner Weiss for her comments and agreed that a lenient approach was best. He stated the communication could be a casual email reminding the state of the obligation under the policy and offering assistance should they need it.

11. Commissioner French supported the comments made by Commissioner Weiss noting North Dakota is a lower military-impacted state so there are not many issues that need to be addressed. Chair Korte agreed and mentioned Minnesota is also a lower military-impact state along with Wisconsin and North Dakota but reiterated that annual State Council meetings is a requirement of the current Commission policy.

12. Commissioner Khieem Jackson (CA) asked if School Liaisons (SL) work and resolve the cases in the lower impacted states or do the cases come directly to the Commissioner? Chair Korte explained that Minnesota has no bases or installations, and the SL is in Washington, so he does have some communication with the SL but not much. Due to that he, as the Compact Commissioner, handles most of the cases that occur in Minnesota.

13. Chair Korte agreed to send a note to those states that are not in compliance with *1-2017 State Coordination Policy* and offer the assistance of the National Office and the Committee. **[OPEN ITEM]**

14. **Commissioner Vacancies** – The Chair provided Members with the background of the Commissioner vacancy in Indiana. MIC3's Executive Director (ED) Cherise Imai and Chair Korte have both contacted the appointing authority and their representative to request an appointment but have had no response. As it relates to compliance, Indiana is at a Level Three, but Chair Korte expressed hesitation at elevating it to a Level Four. Instead, the Chair offered to write a letter to the Governor of Indiana and the Chief of Staff requesting the appointment and outline the various steps taken to secure the appointment, then let them know where it stands before the Compliance Committee and the next steps that would be taken should the appointment not be made in a timely manner.

15. Commissioner Nickolas Sojka, Jr. (NC) asked who the appointing authority for Indiana is and Chair Korte responded the Governor. ED Imai noted that the person appointed

previously was from the Governor's office but has not responded to any of the National Office's communications.

16. Commissioner Weiss asked if examples were provided to the appointing authority (i.e., a superintendent of a highly impacted school district) to help the Governor identify the appropriate person to appoint? Commissioner Sojka suggested providing data to support the appointment noting those families located in the state that this vacancy affects. Chair Korte thanked both Commissioner Weiss and Commissioner Sojka for their suggestions and noted he would include that information in the letter to the Governor's Office.

17. The Chair stated the letter would be developed and circulated to the Committee Members prior to sending. [OPEN ITEM]

18. **End-of-Year (EOY) Reporting** – Chair Korte noted the deadline for submitting the EOY Report was extended to August 31, 2021 and thanked Staff for contacting those states who had failed to meet the deadline. The Chair asked the Members if the Committee wanted to draft a letter informing those states they are out of compliance or if they would like to wait until after ABM to contact them. The latter option would allow those delinquent states to use the online template to complete this requirement. Commissioner Jackson noted reminders have been sent but supported sending one more reminder to provide those states with another opportunity to submit their EOY Report.

19. Commissioner Weiss asked if the EOY Report template was live on the mic3.net and could a link to that be included in the request. She also asked if a person or organization requesting information from the National Office about a state's reporting would be sent to the Commissioner or will the Commissioner be informed of the request. ED Imai responded the National Office gets very few requests for information and those can normally be handled by directing the requestor to the state's page on mic3.net. She noted that in the one instance where a person requested information on a specific state that inquiry was directed to the Commissioner for them to address. ED Imai informed the Members that the online EOY Report will not be available until it is presented at ABM which follows past Commission practices for newly developed tools. [OPEN ITEM]

20. **Strategic Plan** – Chair Korte updated the Committee regarding the Compliance Committee Memo that is scheduled to go out prior to the ABM that will complete the Committee's tactics under the current Strategic Plan. He asked that the draft version of the memo be circulated to the Committee Members for their feedback.

ITEM 7 – NEW BUSINESS

21. **2021 ABM Compliance Committee Presentation** – The Members reviewed the Committee's presentation for the ABM. There was no feedback at this time, but Ms. Dablow will email the presentation to the Members so they can review it again and offer feedback.

ITEM 8 – OTHER BUSINESS AND ANNOUNCEMENTS

22. **Items for the Executive Committee** – Commissioner Weiss asked if Chair Korte could update the Committee on the proposal for extending Compact coverage to include National

Guard and Reserve personnel. Chair Korte deferred to ED Imai who informed the Members that the EXCOM is reviewing the reports, which are being combined into a guide, and the guide will be published once it is approved by the EXCOM. She noted of those states who have submitted reports, which is 21 states, there is no unanimous opinion regarding inclusion of the National Guard and Reserve. Commissioner DuPlanty asked ED Imai if input received during the ABM will be considered even if the state has not submitted a written report. ED Imai said all input will be considered and expressed consideration for those states who have struggled to convene their state council.

23. Commissioner DuPlanty and Weiss both expressed their appreciation for the EOY Report template.

24. **Release of Commissioner Information related to IDEA and Special Education –** Chair Korte reminded the Committee that the IDEA and Special Education information is available on the Commissioner page of mic3.net.

ITEM 9 – ADJOURNMENT

25. Chair Korte noted this is the last meeting of the Compliance Committee before ABM. He expressed his appreciation for the Member's time and dedication to the compliance. Commissioner Weiss asked how committee membership would be determined for the next year. Chair Korte responded the Chair determines committee membership and recommended that the question be poised during the Elections Q&A session for those seeking the Chair position. ED Imai responded this topic is outlined in the Commission's Bylaws and pasted the link into the Chat box. She also noted that Commissioners may contact the Chair to discuss where they would like to be placed but reminded the Members that having the Commission divided equally among the Standing Committees is important so quorum can be achieved, and the Committees can get their work done.

26. Hearing no further comments Chair Korte adjourned the meeting at 2:43 PM ET.