



COMPLIANCE COMMITTEE MINUTES
January 11, 2022

Table with 4 columns: Status (PRESENT, EXCUSED, STAFF), Name, Title, and Role (Chair, Ex-officio). Lists members from various states and staff roles.

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:01 PM ET by Compliance Chair Steven Bullard (KY). The Chair welcomed the Committee Members and provided additional background on implementing MIC3 in Kentucky and his role with the Commission.

ITEM 2 – ROLL CALL

2. MIC3 Training & Operations Associate Lindsey Dablow called roll and a quorum was established.

ITEM 3 – APPROVAL OF THE AGENDA

3. Commissioner John "Don" Kaminar (AR) motioned to approve the agenda. The motion was seconded by Commissioner Mike Price (MI). Motion carried.

ITEM 4 – APPROVAL OF THE MINUTES

4. Commissioner Price motioned to approve the minutes as presented. The motion was seconded by Commissioner Nickolas Sojka, Jr. (NC). Motion carried.

ITEM 5 – REPORTS

5. Executive Committee (EXCOM) - Chair Bullard reported the EXCOM met in November and December. The Chair was unable to attend one of the meeting days in December due to a prior commitment.

schedule a meeting with the New Jersey Department of Education to resolve the issue. For FY22, more than 84% of member states have submitted their annual dues payment.

6. For Compliance, more than 86% of states have submitted their End-of-Year (EOY) Reports and in FY21, 91% held state council meetings both are significant increases over previous years.

7. Finally, the Post-ABM 2021 survey was distributed to the Commission, and it closed on Friday, December 3, 2021. The results are being processed and will be shared with the EXCOM once finalized.

ITEM 6 – OLD BUSINESS

8. **State Council Meetings** – Chair Bullard reminded the Members that 91% of states held a State Council meeting during FY21 with 10 states unable to hold a meeting putting those states out of compliance with the *1-2017 State Coordination* policy. At the end of the September 2021 meeting the Committee directed the Compliance Chair to contact those states that had not held a State Council meeting to offer assistance.

9. In FY22, 13 states have held State Council meetings including first time meetings for Arizona and Pennsylvania. The Chair noted, for the record, that the District of Columbia (D.C.) Compact Commissioner position is currently vacant, but the National Office is working with the D.C. Office of the Mayor to obtain the appointment.

10. Several states, Alaska, Indiana, and Mississippi, have newly appointed Commissioners so there is a grace period for those Commissioners to get members appointed to their State Council and then hold a meeting. Chair Bullard stated Alaska's Commissioner Wayne Marquis is a Member of the Compliance Committee, but due to travel and weather issues he was unable to attend today's meeting.

11. The Chair reminded the Members that in 2021 Texas passed legislation establishing that state's first State Council. This leaves Vermont and Maine as the two states that are in violation of the Commission's policy. According to *1-2019 Resolving Compliance Issues* this qualifies as a Level One issue and directs the Executive Director (ED) to contact the parties involved and attempt to resolve the matter. The Chair asked for a motion from the Members regarding next steps for Vermont and Maine.

12. Commissioner Korte motioned to elevate Vermont and Maine to Level One under the *1-2019* policy. Motion was seconded by Commissioner Kaminar. Chair Bullard opened the floor for discussion and asked the Staff to provide next steps to the Members. Ms. Dablow stated under the *1-2019* policy ED Imai would contact the Commissioner for Vermont and Maine informing them the Committee has elevated this to a Level One and request they hold a State Council Meeting.

13. Noting the stress states are under given the current everchanging nature of the pandemic, Commissioner Korte provided a deadline of June 30th. Commissioner Kaminar voiced support for a June 30th deadline. Commissioner DuPlanty stated it took Arizona 4 to 6 months to obtain the State Council appointments from the Governor's Office. Chair Bullard thanked each member for their feedback.

14. The motion was amended to reflect a deadline of June 30, 2022, for those delinquent states to hold a State Council meeting. Motion carried. **[OPEN ITEM]**

15. **Commissioner Vacancies and Appointments** – Chair Bullard asked ED Imai to update the Committee on Commissioner Vacancies and Appointments. ED Imai reported appointments were made for Indiana, South Dakota, and West Virginia. All the newly appointed Commissioners have

completed the onboarding process, including Commissioner Ann Pettit (SD) who serves on Compliance.

16. ED Imai confirmed the D.C. Commissioner position is currently vacant. She stated the National Office met with the Mayor's Office in December 2021 to review the status and offer assistance. Carlie Fisherow, Chief of Staff, Office of the Deputy Mayor for Education, verified staff is currently working on the appointment, and acknowledge D.C. has had a couple cases so filling the position is important to support those military families in the D.C. area.

17. ED Imai stated, since her tenure, this is the closest the Commission has been to being fully appointed. She thanked the Members for their effort towards accomplishing this goal. Chair Bullard recognized former Compliance Chair Daron Korte and the Committee Members for their hard work.

18. **End-of-Year Reporting** – Chair Bullard stated 44 states submitted EOY Reports in FY21 which is a 60% increase over the previous year. States outstanding were DC, Illinois, Indiana, Mississippi, New Jersey, Texas, and Vermont. Again, due to DC's Commissioner vacancy, and new Commissioner appointments for Indiana and Mississippi that leaves Illinois, New Jersey, Texas, and Vermont out of compliance.

19. The Chair reported an email was distribute to those three states providing the Commissioners with a link to the online, digital EOY Report template. He thanked the Members of the Committee for developing the EOY Report template and launching it during the 2021 ABM making it very easy for Commission Members to submit those reports to the National Office.

20. Chair Bullard asked for a motion to identify next steps for those states who have not submitted their state's EOY Report. Commissioner Price asked if any states responded to the initial email sent by Commissioner Bullard and the Chair responded Maine did submit their EOY Report after the email. Commissioner Price suggested the Compliance Chair to contact Illinois, New Jersey, Vermont, and Texas one additional time to offer assistance and communicate the EOY Report needs to be submitted within 30 days of receipt of the communication. Should the outstanding states not respond to the Chair's communication then the Committee will elevate it to a Level One and ask ED Imai to contact the outstanding states. Commissioner Kaminar motioned to approve the suggested action and proceed accordingly. The motion was second by Commissioner Korte. Motion carried. **[OPEN ITEM]**

21. **Strategic Plan 2020-2022** – Chair Bullard updated the Committee on the tasks assigned to them under the current Strategic Plan. The Chair stated the EXCOM will meet in April and begin working on the next three-year Strategic Plan. As in years past, Commissioners will be able to provide feedback through their committees but until then Compliance will continue to review and work on compliance issues before the Commission.

ITEM 7 – NEW BUSINESS

22. **Compliance Committee Memo – Annual Deadlines** – Chair Bullard reviewed the draft version of the Compliance Committee Memo regarding annual deadlines. Ms. Dablow noted the memo would be distributed to all Commissioners and Commissioner Designees as a reminder of the annual reporting deadlines outlined in the Commission's guidance documents. She stated, due to the substantial increase of submissions last year, the goal for FY22 is 100% compliance. She requested the Committee's consent to release the Compliance Memo to the Commission. Commissioner Price requested information pertaining to the Code of Conduct and Conflict of Interest, as well as other annual deadlines be distributed before the end of the calendar year to remind Members before January. Chair Bullard supported the early release of information pertaining to the Commission deadlines.

23. **Communicating Compliance Deadlines** – Ms. Dablow shared with the Members information pertaining to the annual deadlines. This includes the Committee approved Compliance Memo and communications disseminated through the National Office reminding the representatives about the deadlines. She asked the Members to provide feedback on other communication that would help the Members meet the deadlines.

24. Chair Bullard volunteered as Committee Chair to do a monthly reminder email to those who have not met upcoming deadlines. Commissioner Kaminar noted the infographic provided in the Annual Report helped prompt states with outstanding items and recommended updating that graphic monthly and sharing it with the Commission. Ms. Dablow noted the National Office will update the infographic monthly and provide that for use in communications going forward.

ITEM 8 – OTHER BUSINESS AND ANNOUNCEMENTS

25. **Items for the Executive Committee** – Commissioner DuPlanty thanked the National Office for their assistance prior to and during Arizona’s inaugural meeting of their State Council in December.

26. ED Imai informed the Members the National Office will be facilitating monthly webinars beginning in January. The first webinar is a conversation with Dr. Crystal Lewis of the National Military Families Association regarding the Military Teen Experience survey results and findings. There being no other comments, Chair Bullard asked for a motion to adjourn.

ITEM 9 – ADJOURNMENT

27. Commissioner Price made a motion to adjourn that was seconded by Commissioner Kaminar. Motion carried.

28. Hearing no further comments Chair Bullard adjourned the meeting at 2:43 PM ET.