

COMPLIANCE COMMITTEE MINUTES
Tuesday, January 10, 2023

PRESENT	Steven Bullard	Kentucky Commissioner	Chair
	Daron Korte	Minnesota Commissioner	
	Peter Laing	Arizona Commissioner	
	Shelley Joan Weiss	Wisconsin Commissioner	
	Nick Sojka	North Carolina Commissioner	
	Khieem Jackson	California Commissioner	
	Michael Price	Michigan Commissioner	
	John "Don" Kaminar	Arkansas Commissioner	
	Caitlin Hamon	NMFA	
EXCUSED	Sarah Forster	Maine Commissioner	
	Ray Shaw	Montana Commissioner	
STAFF	Cherise Imai	Executive Director	
	Stephanie Ramsey	Communications Associate	
	Lindsey Dablow	Training & Operations Associate	

ITEM 1 – CALL TO ORDER

1. The meeting was called to order at 2:03 PM ET by Compliance Chair Steven Bullard (KY).

ITEM 2 – ROLL CALL

2. Training and Operations Associate Lindsey Dablow conducted roll call and a quorum was established.

ITEM 3 – APPROVAL OF THE AGENDA

3. Commissioner Don Kaminar (AR) motioned to approve the agenda as presented. The motion was seconded by Commissioner Shelley Joan Weiss (WI). Motion carried.

ITEM 4 – APPROVAL OF THE MINUTES

4. Commissioner Weiss motioned to approve the minutes from September 13, 2022 as presented. The motion was seconded by Commissioner Michael Price (MI). Motion carried.

ITEM 5 – WELCOME AND INTRODUCTIONS

5. Chair Bullard welcomed the Committee Members to the first meeting of 2023 and proceeded with introductions.

ITEM 6 – REPORTS

6. **2023 Committee Meetings and Establishing Quorum** – Chair Bullard noted this was his second year as Chair of the Compliance Committee and recognized the dedication and hard work of the Members. He reminded the Committee to notify the Chair or National Office if they are unable to attend a committee meeting to ensure there is a quorum at each meeting and business can be accomplished in a timely manner.

7. **Executive Committee (EXCOM)** - Chair Bullard reported the EXCOM met on December 16 and 19, 2022. The National Office was working with Commissioners and Ex-officio representatives to address the language of the National Defense Authorization Act amendment that passed at the end of the legislative session. General Counsel, in collaboration with the EXCOM, was reviewing a legislative toolkit for states that want to propose external statute language that would provide National Guard and Reserve (NGR) families with similar coverage to the Compact.

8. The EXCOM reviewed the 2022 Annual Business Meeting (ABM) post-survey results and cost analysis for the 2022 ABM. Based on feedback prior to, during, and after ABM regarding the cost of holding the ABM in-person that matter has been referred to the Finance Committee for further review and discussion.

9. The Chair concluded his report noting the updated Compact Rules book, featuring the four new Rules passed at ABM, was available on mic3.net and Members could order hard copies through the website.

ITEM 7 – OLD BUSINESS

10. **State Council Meetings** – Chair Bullard updated the Members on the State Council Meetings held in FY22 and FY23. He reminded the Committee during the September 13, 2022 meeting it decided not to elevate North Dakota to a Level Three or Four under *1-2019 Resolving Compliance Issues*. However, Chair Bullard reported North Dakota did respond to his inquiry and assured him a meeting would be held on September 30, 2022. The Chair stated the National Office is waiting for the meeting agenda and minutes of the September 2022 meeting to update North Dakota's page on mic3.net.

11. Chair Bullard referred to the State Council document in the meeting packet noting some states have held their FY23 meeting. He noted the Committee will review this document at each meeting as the deadline, June 30, 2023, to hold a State Council meeting in FY23 approaches. Chair Bullard concluded the report stating the National Office would send reminder emails out leading up to the deadline.

12. **Code of Conduct (CoC) and Conflict of Interest (CoI) Reporting** – Chair Bullard stated the CoC and CoI forms are not due until January 31, 2023, so there are no compliance issues at this time but wanted the Members to review the report for their awareness. The Chair noted headquarters would continue to send out reminders to the Commission as the deadline approaches.

13. Commissioner Weiss complimented the development of the online forms allowing Commissioners to submit these documents electronically.

14. **Review of Current Compliance Policies** – Chair Bullard stated during the September meeting General Counsel stated they were reviewing the Commission policies and would make a recommendation to the Compliance Committee. The process is still underway, and a recommendation would be made to the Committee at the March 2023 meeting.

ITEM 8 – NEW BUSINESS

15. **2023-2025 Strategic Plan: Compliance Committee** – Chair Bullard reminded Members the 2023-2025 Strategic Plan was presented during the ABM. The Chair reviewed the action items assigned to the Compliance Committee, noting these tasks would be worked on over the next three

years. First, the Committee is tasked with identifying opportunities to inform and educate Commissioners and State Councils on their role and responsibilities; Review policies and rules to ensure they align with expectations.

16. Chair Bullard noted the Committee began this task by reviewing the *2-2017 Code of Conduct*, *2-2019 Conflict of Interest*, and *1-2019 Resolving Compliance Issues* policies. He stated the Committee would continue to work with General Counsel over the next two years to evaluate the Commission policies reviewing for additional guidance or compliance issues related to the Model Compact Language or Commission Rules.

17. Chair Bullard asked the Members for feedback related to drafting a Memo for Commissioners to provide to their state councils that outline the Commissioner's role and responsibilities, as well as the State Councils roles and responsibilities. Commissioner Price supported the idea of developing additional guidance. He noted Michigan's State Council recently held a meeting and it would be helpful to provide more information to the State Council on their role and responsibilities as it relates to the Compact.

18. Commissioner Weiss supported the idea but recommended expanding this to include webinars or other engaging formats available to provide relevant information to states. Commissioner Kaminar supported Commissioner Weiss' suggestion. Ms. Dablow reminded Members the Training Committee created and the Communications and Outreach Committee updated the Commissioner and State Council Toolkits on mic3.net. She outlined the type of information contained in those documents. The Committee agreed to review the toolkits and making edits to those documents prior to creating new items to address this tactic.

19. Chair Bullard reviewed the tasks under Goal Two, noting the first two tasks would be addressed when the Committee reviews the policies with the assistance of General Counsel. The Chair stated the third task focused on data collection to reflect state progress is something the Commission has talked about for many years. This task was assigned to both the Rules and Compliance Committees and would be done in collaboration to obtain feedback from a wider audience.

20. Chair Bullard concluded the review of the Strategic Plan with the Committee's final task under Goal two. He noted this task was assigned to all the Committees and would be discussed throughout the next three years in partnership with the other committees to determine the impact of the Compact and develop a reporting apparatus for states.

21. Commissioner Weiss asked which parties or individuals would work on the tasks assigned to the Committee on the Strategic Plan. Chair Bullard replied it would require correspondence outside the main meetings but would be worked on by the Committee over the course of three years. Commissioner Weiss recommended scheduling work groups to work on the tasks assigned to the Committee.

22. **Citation Corrections (Update)** – Chair Bullard deferred to Executive Director (ED) Cherise Imai for an update on the citation correction. ED Imai reported headquarters has followed up with the states that indicated on the survey they intended to make the correction during the current legislative session. Currently, there are nine to ten states making the correction this year. ED Imai informed Members headquarters and leadership met with the newly designated Director for the Department of Defense State Liaison Office, and she agreed to assist those states that need it when the time comes. She reminded Members this is a three-year initiative and updates would be provided at future Compliance Committee meets.

ITEM 8 – OTHER BUSINESS AND ANNOUNCEMENTS

23. **Items for the Executive Committee (EXCOM)** – Commissioner Weiss asked why the Commission is not provided the results of the 2022 Post ABM Survey and the rationale for not providing the raw data to the Commission.
24. Commissioner Weiss thanked headquarters and leadership for trying to connect with the National Oceanic and Atmospheric Administration and U.S. Public Health Services and noted frustration at the unresponsiveness of those groups.
25. Commissioner Weiss asked for information on the Month of the Military Child to be distributed as soon as possible so planning for April could begin.

ITEM 9 – ADJOURNMENT

26. With no further business to conduct, Chair Bullard adjourned the meeting at 2:48 PM ET.